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DO THE SIZE AND LANDSCAPE CONTEXT OF FOREST OPENINGS INFLUENCE
THE ABUNDANCE AND BREEDING SUCCESS OF SHRUBLAND SONGBIRDS IN
SOUTHERN NEW ENGLAND?

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25 **Abstract**

26

27 Early successional birds have declined in the northeastern United States due to the
28 regeneration of forest on abandoned farm fields and the suppression of natural
29 disturbances that once provided appropriate habitat. These species have become
30 increasingly dependent on early successional habitats generated by such activities as
31 timber harvesting. Recent approaches of timber harvesting, which range from single tree
32 harvesting to clearcutting, create forest openings of different sizes and configurations
33 embedded in landscapes with different land use patterns. To assess the importance of
34 forest openings created by timber harvesting for shrubland birds, we surveyed birds on
35 50-m radius plots in 34 harvest sites (0.5 – 21 ha). We collected data on multi-scaled
36 habitat variables ranging from plot-level vegetation characteristics to land use patterns
37 within 1 km of each study site. We also monitored mating and nesting success of Blue-
38 winged Warblers (*Vermivora pinus*) in 10 forest openings.

39 The abundance of most shrubland species was influenced by plot-level habitat
40 variables, such as tree density and vegetation height, rather than shrubland area or the
41 composition of land uses in the surrounding landscape. Only Eastern Towhees (*Pipilo*
42 *erythrophthalmus*) were more frequent in survey plots in larger forest openings. In
43 contrast, neither abundance nor reproductive activity of Blue-winged Warblers was
44 correlated with the size of the forest opening. Their abundance was negatively related to
45 vegetation height, however. Only 54% of the territorial male Blue-winged Warblers in
46 forest openings were mated. We documented relatively low nest success rates of 21.1%
47 during the egg laying and incubation nest stages, but increased success rates during the

48 later stages of nest development.

49 Our results indicate that even small forest openings with low vegetation provide
50 habitat for Blue-winged Warblers and other shrubland birds. The overall reproductive
51 rate of territorial male Blue-winged Warblers in forests openings was low during the two
52 years of the study, however. Further studies are needed to assess the long-term value of
53 this type of habitat for sustaining shrubland bird populations.

54

55 *Keywords:* early successional birds, shrubland birds, Blue-winged Warbler, clearcuts,
56 habitat fragmentation, landscape context, area sensitivity

57

58 **1. Introduction**

59

60 Forestry in southern New England is increasingly dominated by uneven-aged
61 management characterized by selective cutting of individual trees or small groups of trees
62 (DeGraaf *et al.*, 1992). Single-tree and group selection harvesting is also becoming more
63 common on both public and private land in many other regions in eastern North America
64 in response to public concerns about the visual and environmental impacts of clearcuts,
65 especially the problems of soil erosion, stream sedimentation, and degradation or loss of
66 habitat for forest species. Ironically, many declining species in eastern North America
67 depend on early successional woody habitats such as regenerating forest, and these
68 species generally benefit from even-aged management techniques that create large
69 expanses of open habitat (Hunter *et al.*, 2001, Dettmers, 2003). An important question

70 for forest managers is whether these species can also use the smaller openings that are
71 created as a result of new methods and regulations for timber harvesting.

72 Numerous studies have documented that recent clearcuts support a diversity of
73 birds that are “shrubland specialists” (species that are restricted to low, woody
74 vegetation) (Conner and Adkisson, 1975; Webb *et al.*, 1977; Crawford *et al.*, 1981;
75 Hagan *et al.*, 1997; Yahner, 1997). These species are rare or absent in mature forests, so
76 they depend upon some sort of disturbance, such as fire, windstorms, severe flooding, or
77 logging, to generate low, woody habitat (Askins, 2002). Shrubland species are also
78 generally rare or absent in the small openings in forests created by single-tree and group
79 selection harvesting (Annand and Thompson, 1997; Rodewald and Smith, 1998;
80 Robinson and Robinson, 1999; Costello *et al.*, 2000; Moorman and Guynn, 2001). These
81 small openings are colonized by only a few species of shrubland specialists and forest-
82 edge species along with species such as the Hooded Warbler (*Wilsonia citrina*) that are
83 best characterized as canopy gap specialists (Annand and Thompson, 1997; Germaine *et*
84 *al.*, 1997). Canopy gap specialists generally are associated with mature forests, not
85 extensive open habitats, because they are often concentrated in small, shrubby openings
86 created by tree falls. Thus, different harvesting methods favor different sets of bird
87 species.

88 Another approach to reducing the negative environmental effects of even-aged
89 management is to shift from large clearcuts to small clearcuts (including patch cuts in the
90 0.5 – 2 ha range). This approach has been adopted in state forests in Connecticut, where
91 clearcuts are generally no larger than 4 ha (10 acres). Compared to large clearcuts, small
92 clearcuts reduce problems with soil erosion and stream sedimentation while still favoring

93 regeneration of commercially important oaks (which grow better in open, sunny
94 conditions (Meadows and Stanturf, 1997)). If these small clearcuts provide habitat for
95 shrubland birds, then they may be preferable to individual or group selection cuts in
96 regions where conservation of shrubland species is a high priority. The pressing question
97 is how large an opening is needed to provide appropriate and productive habitat for
98 shrubland birds. Rudnicky and Hunter (1993) addressed this question by surveying bird
99 populations in standardized plots in clearcuts with a wide range of areas (2 - 112 ha) in
100 Maine. When they included all of their sites in the analysis, they found that the
101 frequency of occurrence of particular species was not related to clearcut area. When the
102 analysis was limited to clearcuts ≤ 20 ha, however, many species showed positive trends
103 in frequency of occurrence as clearcut area increased, suggesting that these species may
104 be sensitive to clearcut area for small clearcuts. In a mist-net study of regenerating
105 clearcuts in longleaf pine forests in South Carolina, Krementz and Christie (2000)
106 carefully standardized sampling effort for all of their measures of bird abundance and
107 productivity. They found no relationship between area of clearcuts and either abundance
108 or productivity (as measured by juvenile: adult ratios) of scrub-successional birds for
109 clearcuts that ranged in area from 3 to 57 ha. In contrast, Rodewald and Vitz (2005)
110 found weak evidence that several species of shrubland birds were less frequent in mist net
111 samples from small clearcuts than in samples from large clearcuts. They found stronger
112 evidence that shrubland birds tended to avoid the area near the forest edge, which would
113 tend to reduce densities in small or irregularly shaped clearcuts. Thus, the limited
114 number of studies that address this question do not provide a clear answer about whether
115 the area of habitat patches should be an important management concern.

116 The distribution and abundance of early successional birds is potentially
117 determined not only by vegetation characteristics and the area of forest openings, but also
118 by the amount and pattern of early successional habitats in the surrounding landscape
119 (Lichstein *et al.*, 2002). Habitat selection by birds often occurs at multiple spatial scales,
120 and may be influenced by habitat variables both within and surrounding habitat patches
121 (Cody, 1985). Although a substantial amount of research has focused on the landscape-
122 scale effects of habitat selection in birds of mature forests, not much is known about the
123 importance of surrounding landscape features in explaining shrubland bird distributions.

124 The objectives of this study were to 1) determine the minimum area of early
125 successional habitat required by different species of shrubland specialists, 2) test whether
126 the size of openings is related to reproductive success by monitoring the mating and nest
127 success of the Blue-winged Warbler (*Vermivora pinus*), a shrubland specialist, and 3)
128 analyze the relative importance of habitat characteristics in explaining bird distributions
129 at three spatial scales (i.e., plot, patch, and landscape scales). Our broader goal was to
130 determine whether small clearcuts provide suitable habitat for a diversity of early
131 successional birds, and, if so, whether their value for these species diminishes if the
132 clearcuts are too small or if they are too isolated from other early successional habitat.

133

134 **2. Methods**

135

136 *2.1 Study Areas*

137

138 Study sites consisted of 34 forest openings located in the Nehantic, Pachaug,
139 Cockaponset, Salmon River, and Meshomasic state forests in southeastern Connecticut.
140 These clearcuts, deferment cuts, shelterwood cuts, and wildlife openings were created
141 and managed by the Connecticut Department of Environmental Protection. All study
142 sites were openings dominated by low, woody vegetation surrounded by mature forest.
143 They ranged in size from 0.6 – 21 ha and had been created by harvests during the
144 preceding three to 11 years. We avoided group selection cuts (which are <0.6 ha)
145 because previous studies showed that these primarily support mature-forest and canopy-
146 gap specialists rather than shrubland species, and thus are different from larger openings
147 (Costello *et al.*, 2000). Adjacent study sites were separated from one another by at least
148 10 m of forest. The greatest distance between study sites was 61 km. The average
149 distance from other forest openings (including other study sites) was 235 m, and all study
150 sites were at least 100 m from powerline rights-of-way or other shrubby openings.

151

152 *2.2 Abundance and reproductive success of birds*

153

154 In 1997, we determined densities of breeding shrubland songbirds on 50-m, fixed-
155 radius circular plots (Bibby *et al.*, 2000) near the center of each forest opening. Each
156 survey plot was visited once during the early breeding season (28 May to 13 June) and
157 once during the late breeding season (16 June to 7 July), with a separation of at least three
158 weeks between visits. We conducted surveys between 0530 and 0930 Eastern Daylight
159 Time (EDT). Surveys began two minutes after arriving at the site and lasted for 10 min.
160 We did not survey birds during inclement weather, such as rain or high wind (>15 km/hr)

161 (Vickery *et al.*, 1994). Locations and movements of all individual birds were noted for
162 the first and second halves of the observation period. We used the maximum number of
163 individuals of a particular species recorded during the 10-min observation periods during
164 the two visits as a measure of relative abundance for each study area.

165 We conducted spot-mapping and nest searches to assess the reproductive success
166 of Blue-winged Warblers in a total of 10 openings with comparatively low, woody
167 vegetation. In 1998, we surveyed five clearcuts ranging in size from 0.7 – 16.7 ha. In
168 1999, we surveyed five different openings ranging in size from 0.6 – 7.2 ha. Each site
169 was visited at least once per week between 20 May and 17 July. We used the following
170 methods to assess reproductive activity of Blue-winged Warblers within each study area:
171 (1) spot mapping of individual breeding territories (Robbins, 1970), (2) recording male
172 mating success (i.e., whether a male was associated with a female at least once during the
173 breeding season), (3) recording direct evidence of nesting activity (i.e., carrying nest
174 material, fecal sacs, or food), and (4) locating and monitoring nests to determine nesting
175 success. These measures were used to determine rates of mating success, nesting
176 activity, and nest success for each Blue-winged Warbler territory.

177

178 *2.3 Vegetation Surveys*

179

180 In conjunction with the bird surveys conducted in 1998, we performed vegetation
181 surveys in each bird survey plot. We used the line-intercept method to determine plant
182 species composition and percent cover of different plant species (Brower and Zar, 1977).
183 A 25-m transect was set up in a random compass direction from the center of each bird

184 survey plot. We recorded the linear distance of the transect covered by each plant
185 species, including all foliage that physically touched or overlay the transect (Brower and
186 Zar, 1977). Total intercept lengths were used to estimate percent cover for each plant
187 species. At 1-m intervals we recorded the ground cover as leaf litter, dead wood, herb,
188 bare ground, grass, or other. To determine the average canopy height, we recorded the
189 maximum vegetation height at 5 m intervals along the transect using a meter stick or a
190 rangefinder and clinometer. In addition, we recorded the numbers of live trees and snags
191 with > 25 cm diameter at breast height (dbh) within the 50-m-radius survey plot.

192 We implemented an alternative vegetation sampling method that emphasizes
193 vertical vegetation structure for use in the study of reproductive success in Blue-winged
194 Warblers. A vertical profile of foliage density was determined at 20 random points
195 within each Blue-winged Warbler territory. At each point, the number of contacts
196 between living vegetation and a pole was recorded for the following height intervals: 0 –
197 0.25 m, 0.25 – 0.5 m, 0.5 – 1.5 m, 1.5 – 3.0 m, 3.0 – 6.0 m, 6.0 – 8.0 m, and > 8.0 m
198 (Morimoto and Wasserman, 1991). This permitted a comparison of the vegetation
199 profiles in different Blue-winged Warbler territories.

200

201 *2.4 Patch and landscape characteristics*

202

203 We used aerial photographs (1995-1996; American Reprographic, Denver,
204 CO) to determine the area of the forest opening and land use patterns in the region around
205 the study area. We calculated the total area of residential developments, farmland, and
206 clearcuts within 1 km of the periphery of each study site. In addition, we measured a

207 number of proximate landscape features including distances to the closest house, farm,
208 clearcut, road, and powerline corridor within 1 km of the study site.

209

210 *2.5 Statistical Analyses*

211 To summarize information on habitat variables, we used separate principal
212 component analyses (PCA) at three different spatial scales. Five variables (percent cover
213 of trees, shrubs and herbs; tree density; and average canopy height) were used for the
214 plot-level scale, 3 variables (area of opening, time since final harvest, and distance
215 around perimeter/area of opening) were used for the patch-level scale, and six variables
216 (total area [ha] of residential developments and clearcuts within 1 km of the site;
217 distances [m] to nearest residence, road, powerline, and clearcut) were used for the
218 landscape-level scale. We analyzed landscape variables within a radius of 1 km
219 assuming that this distance would reflect (within an order of magnitude) dispersal
220 distances from natal to breeding areas for young songbirds and as a measure of the
221 immediate landscape context surrounding each study site. Natal dispersal distances are
222 not known for Blue-winged Warbler, but averaged 1.13 km for Prairie Warbler (Nolan,
223 1978: 463), which is another shrubland specialist.

224 By performing separate PCAs for the three different spatial scales, we maintained
225 a sample to variable ratio of at least 3:1 (McGarigal *et al.*, 2000). Data for each variable
226 were checked for normality using Q-Q plots in SPSS (Version 10), and transformed if
227 necessary to approximate normality. Transformed variables were used in PCA for tree,
228 shrub and herb cover (arcsine); canopy height and tree density (ln); and clearcut and
229 residential area (square root).

230 Factor scores from the three principal component analyses were used as
231 independent variables for multiple regression analyses of the abundance of particular bird
232 species and for the overall abundance of groups of ecologically similar species. We
233 completed separate regression analyses for shrubland specialists (species largely
234 restricted to low, woody vegetation), shrubland generalists (species found both in low,
235 woody vegetation and other habitats), and mature-forest species (Table 1). We also
236 analyzed the relationship between Brown-headed Cowbird abundance and the abundance
237 of snags that had been retained in forest openings during timber harvests to enhance
238 habitat for cavity-nesting birds and other wildlife. Female cowbirds use high perches to
239 search for host nests (Norman and Robertson, 1975), so openings with numerous snags
240 may provide better breeding habitat for cowbirds than openings with few or no snags.

241 Differences in mating success and nesting activity were compared at two spatial
242 scales, among individual bird territories (n = 28) and forest openings (n = 10). Our null
243 hypotheses were that mating success, nesting activity and nest success rates are the same
244 in forest openings regardless of their area and do not differ between territories regardless
245 of vegetation structure. Spot mapping of individual bird territories allowed us to
246 document male mating status and nesting activity on individual territories.

247 We used a general linear model (GLM) function in R to perform a logistic
248 regression to determine whether foliage density influenced mating success and nesting
249 activity in individual territories (Hosmer and Lemeshow, 1989; R Development Core
250 Team, 2004). In the GLM model, mating success (e.g., a territory without mate = 0, a
251 territory with a mate = 1) and nesting activity (e.g., a territory with no evidence of nesting
252 = 0, a territory with evidence of nesting = 1) is provided as a binary dependent variable

253 and the foliage density categories were used as explanatory variables. We used Pearson
254 product-moment correlation analysis to determine if any of the foliage density variables
255 were highly correlated; only uncorrelated variables were entered into the GLM model.

256 Multiple regression analyses and Fisher's Exact Tests were used to test the
257 effects of forest opening area and age of the opening (time since final harvest) on mating
258 success and nesting activity (R Development Core Team, 2004). Study sites were also
259 re-classified into sites ≤ 4 ha and sites > 4 ha to allow for the use of the Fisher's Exact
260 Test to test for differences in the number of territories with evidence of mating or nesting
261 in small and large forest openings. This classification separates very small openings (0.6
262 – 2.2 ha) that potentially could accommodate only one or two Blue-winged Warbler
263 territories from larger openings (4.1 – 16.7 ha) that potentially could accommodate more
264 territories. (According to Canterbury et al. [1995, as cited in Gill *et al.*, 2001], the
265 average territory size for Blue-winged Warblers was 1.1 ha in a study area in Ohio.) We
266 set an a priori significance level of $\alpha = 0.05$ and a marginal significance level of $0.10 > P$
267 > 0.05 for these analyses.

268 Nests were considered successful if at least one fledged young was observed or if
269 the nest was found empty between visits and the nestlings were sufficiently well
270 developed to assume successful fledging. We assumed predation or nest failure when
271 eggs or nestlings too young to have fledged disappeared. We developed three candidate
272 models to estimate stage-specific daily survival probabilities for three different nest
273 stages (Stanley, 2000; 2004). The nest stages included egg laying (begins with the laying
274 of first egg and ends with incubation), incubation (begins with the onset of incubation
275 and ends with hatching), and nestling (begins with hatching and ends when the nestlings

276 fledge) (Stanley, 2004). All models allowed for the joint estimation of stage-specific
277 survival probabilities for all three nest stages including egg laying (ρ_0), incubation (ρ_1),
278 and nestling (ρ_2) stages, even when the exact time of nest failure is unknown (Stanley,
279 2004). The first model was the global model and estimated separate survival
280 probabilities for all nest stages (ρ_0, ρ_1, ρ_2), the second model assumed equal
281 survivorship in the egg laying and incubation stages ($\rho_0 = \rho_1, \rho_2$), and the third model
282 assumed constant survivorship throughout the entire nesting period ($\rho_0 = \rho_1 = \rho_2$).
283 The third model is equivalent to calculating nest success using the traditional Mayfield
284 method (Mayfield, 1961; Mayfield, 1975) and Johnson's (1979) formula to calculate
285 standard deviations. For all models, we specified an egg laying stage of 4 days,
286 incubation period of 11 days, and a nestling period of 9 days (Will, 1986). Maximum-
287 likelihood parameter estimates and standard errors were obtained using SAS PROC
288 NLIN (SAS Institute, 1989) (see Stanley [2004] for program details). We evaluated
289 model performance by calculating the AIC_c value for each model (Hurvich and Tsai,
290 1989; Burnham and Anderson, 2002).

291

292 **3. Results**

293

294 *3.1 Relative abundance of Different Species in Openings*

295

296 The most abundant species of birds on survey plots in 34 forest openings were
297 three shrubland generalists (Gray Catbird [*Dumetella carolinensis*], Eastern Towhee
298 [*Pipilo erythrophthalmus*], and Common Yellowthroat [*Geothlypis trichas*]) and two

299 shrubland specialists (Chestnut-sided Warbler [*Dendroica pensylvanica*] and Blue-
300 winged Warbler) (Table 1). Another shrubland specialist, the Prairie Warbler (*Dendroica*
301 *discolor*), was infrequent at these sites. Brown-headed Cowbird was the most abundant
302 bird at these sites if both males and females are counted (Table 1), but these counts
303 included large numbers of males in highly conspicuous flocks. Female cowbirds are a
304 better measure of cowbird abundance and impact on host populations because they have
305 discrete (albeit overlapping) home ranges (Lowther, 1993) during the breeding season,
306 and they lay eggs in the nests of other species. The abundance of female cowbirds was
307 relatively low (Table 1). **[INSERT TABLE 1]**

308 Several species of birds typically associated with closed-canopy forests or forest
309 with canopy gaps (Black-and-white Warbler [*Mniotilta varia*], Wood Thrush [*Hylocichla*
310 *mustelina*], Veery [*Catharus fuscescens*], Great Crested Flycatcher [*Myiarchus crinitus*],
311 American Redstart [*Setophaga ruticilla*], and Red-eyed Vireo [*Vireo olivaceus*]) were
312 recorded in forest openings (Table 1). Most of these species were infrequent in openings,
313 but Black-and-white Warblers were fairly common.

314

315 *3.2 Relationship between Habitat Variables and Bird Distributions*

316

317 Using principal component analysis, we were able to reduce the number of
318 habitat variables for use in multiple regression analysis from 14 to 5. The first two
319 principal components for plot-level variables accounted for 70% of the variance in the
320 original variables, the first principal component for patch-level variables accounted for
321 68% (the second component was excluded because there were only 3 original patch-level

322 variables and the first component accounted for most of the variance), and the first two
323 principal components for landscape-level variables accounted for 59% (Table 2). When
324 used as independent variables in multiple regression analysis, some of these principal
325 components were significantly related to the abundance of particular species of birds.
326 We completed regression analyses for all species that were detected in ≥ 15 sites (Table
327 3). Blue-winged Warbler, Chestnut-sided Warbler, Common Yellowthroat, and Eastern
328 Towhee were all significantly more abundant at sites with lower tree density and lower
329 vegetation height. Eastern Towhees were also significantly more common in larger
330 openings. The only species that was significantly related to principal components for
331 landscape variables was Gray Catbird, which was more abundant in openings closer to
332 residential areas and farther away from other clearcuts (Table 3). **[INSERT TABLE 2]**

333 We included counts for both males and females in regression analyses of Brown-
334 headed Cowbirds because of low sample sizes when females were considered separately.
335 Although including conspicuous groups of male cowbirds probably exaggerates the
336 relative abundance of cowbirds compared to that of territorial songbirds, male and female
337 cowbirds probably occur in similar habitats along powerlines because males visit these
338 sites primarily to mate with females. Cowbirds were most abundant at sites with lower
339 vegetation height, higher shrub cover, and lower tree cover (Table 3). A separate linear
340 regression also showed that cowbirds were detected significantly more frequently in
341 forest openings with higher densities of snags ($F=18.5$, $DF=32$, $P<0.001$).

342 When shrubland specialists were considered as a group, the total abundance was
343 greatest at sites with higher shrub density, lower tree cover, lower tree density, and lower
344 canopy height (Table 3). There were no significant relationships for patch-level or

345 landscape-level principal components. Shrubland generalists were most frequent at plots
346 in larger openings, with lower tree density, greater distance to the nearest clearcut, and a
347 greater area of residential development within 1 km (Table 3). The frequency of forest
348 birds showed no significant relationship with the any of the principal component
349 variables (Table 3).

350 **[INSERT TABLE 3]**

351 *3.3 Territory-level Differences in Mating Success and Nesting Activity*

352

353 In the summers of 1998 and 1999, we documented 28 Blue-winged Warbler
354 territories in 10 study sites. A territory was considered potentially productive if we
355 recorded a female at least once during the breeding season (mating success) or we
356 recorded direct evidence of nesting (nesting activity). Over both years, 15 territories
357 (54%) showed evidence of female occupancy and 10 territories (28%) showed evidence
358 of nesting. We used foliage density data to determine whether vegetation density at
359 particular heights influenced mating success and nesting activity. The pole vegetation
360 method produced a relative density of foliage within 8 separate height classes: 0 – 0.25
361 m, 0.25 – 0.5 m, 0.5 – 1.5 m, 1.5 – 3.0 m, 3.0 – 6.0 m, 6.0 – 8.0 m, and > 8.0 m
362 (Morimoto and Wasserman, 1991). Pearson product-moment correlation analysis found
363 no significant correlations between any of these foliage density categories so all eight
364 categories were used as explanatory variables in the GLM. Territories were characterized
365 by relatively low, dense foliage, with 88% of the foliage heights < 3 m.

366 Our GLM analyses suggested that foliage density had a limited influence on the
367 probability of mating success and nesting activity on different territories. None of the

368 densities for particular foliage heights were significant predictors of mating success at the
369 0.05 significance level. The density of vegetation between two height categories, 0 –
370 0.25 m (1.26 ± 0.74 S.E., $P = 0.088$) and 6.0 – 8.0 m (4.68 ± 2.82 S.E., $P = 0.096$), were
371 marginally significant and positively correlated with mating success. Foliage density also
372 was not a significant predictor of nesting activity. None of the foliage height categories
373 were significant predictors of nesting activity at the 0.05 significance level. The density
374 of vegetation between 0 – 0.25 m (0.94 ± 0.55 S.E., $P = 0.087$) was marginally
375 significant and positively correlated with the probability of nesting activity. Our findings
376 indicate that foliage density and height were not important factors in mating success or
377 nesting activity in Blue-winged Warblers in forest openings.

378

379 *3.4 Patch-level Differences in Mating Success and Nesting Activity*

380

381 Mating success and nesting activity were aggregated to the site (habitat patch)
382 level to represent the proportion of territories with evidence of mating or nesting. At the
383 patch-level our effective sample size was limited to 10 study sites. Patch area had no
384 effect on the proportion of territories with mates (-0.07 ± 0.087 S.E., $P = 0.415$) or on the
385 proportion of territories with evidence of nesting (-0.16 ± 0.096 S.E., $P = 0.140$).
386 Similarly, patch age (time since harvest) had no effect on the proportion of territories
387 with mates (-0.05 ± 0.058 S.E., $P = 0.369$) or on the proportion of territories with
388 evidence of nesting (-0.069 ± 0.064 S.E., $P = 0.325$). When sites were reclassified into
389 small patches (≤ 4 ha; $n = 6$) and large patches (> 4 ha; $n = 4$), small patches supported
390 significantly fewer territories (2.0 ± 0.63 S.E.) than did large patches (4.5 ± 0.50 S.E.) (P

391 = 0.0147). Patch area was not significantly correlated with mating success ($P = 0.710$),
392 however, but was a significant predictor of nesting activity ($P = 0.026$), with the
393 proportion of territories with evidence of nesting higher in small patches (0.67 ± 0.19
394 S.E.) than in large patches (0.18 ± 0.11 S.E.) (Figure 1). Our results suggest that mating
395 success and nesting activity of Blue-winged Warblers were not strongly influenced by the
396 age of the forest opening, but that nesting activity was significantly higher in smaller
397 openings within the range of variability in our sample (Figure 1).

398 **[INSERT FIGURE 1]**

399 *3.5 Nest Survival Rates*

400

401 Despite extensive nest searches in ten openings, we were able to document and follow
402 only eight Blue-winged Warbler nests. This limited sample size was primarily a result of
403 the relatively low number of pairs that attempted to nest within the study sites. Given
404 such a limited sample size, we were unable to test effects between sites, and all nest data
405 were pooled for analysis. We documented a mean clutch size of $3.56 (\pm 0.18$ S.E.) eggs.
406 None of the nests were parasitized by cowbirds. The second model, in which the daily
407 survival probabilities for the egg laying and incubation stages were assumed to be equal
408 ($\rho_0 = \rho_1, \rho_2$), had the lowest AIC_c value ($AIC_c = 12.52$) when compared to the global
409 ($AIC_c = 13.62$) and the constant survivorship model ($AIC_c = 16.27$). We chose the second
410 model as the best model because it minimizes information loss. This model assumes a
411 constant survival probability in the egg laying and incubation stages, which may be
412 appropriate for our data because of the limited sample size and small number of nests
413 observed in the egg-laying stage. The second model produced stage-specific survival

414 probabilities of 21.1% (\pm 0.18 S.E.) for the egg laying and incubation stage and 100% (\pm
415 0.00 S.E.) for the nestling stage. Although the third model was the least supported of all
416 three models, we calculated a nest success rate of 42.7% to allow for comparison with
417 past studies that used the Mayfield method.

418

419 **4. Discussion**

420

421 *4.1 Importance of habitat area and vegetation structure to shrubland birds*

422

423 The abundance of shrubland specialists in forest openings was related to
424 vegetation structure rather than to the extent of continuous early successional habitat at a
425 study site or to surrounding land use patterns. Two common shrubland specialists, Blue-
426 winged Warbler and Chestnut-sided Warbler, were most abundant on study plots
427 dominated by relatively low vegetation with few trees. When considered as a group,
428 shrubland specialists were most abundant at sites with low vegetation, few trees, and a
429 high density of shrubs. Within the range of forest openings we studied (0.6 – 21 ha),
430 none of the common shrubland specialists displayed a significant relationship between
431 abundance (average number per survey plot) and forest opening size, indicating that they
432 occupy even very small clearcuts. Openings created by timber harvesting that are smaller
433 than our smallest site (0.6 ha) are classified as individual or group selection cuts rather
434 than clearcuts. These generally support relatively few shrubland specialists (Robinson
435 and Robinson, 1999; Costello *et al.*, 2000, Moorman and Guynn, 2001). The minimum
436 recorded territory areas are 0.3 ha for Blue-winged Warbler (Gill *et al.*, 2001) and 0.4 ha

437 for Chestnut-sided Warbler (Richardson and Brauning, 1995), so it is not surprising that
438 these species were infrequent or absent in individual and group selection cuts.

439 In contrast to shrubland specialists, the occurrence of some shrubland generalists
440 appears to be influenced by the size and landscape context of the forest opening.
441 Shrubland generalists as a group were most frequent in plots in larger forest openings,
442 with lower tree density, greater distance to the nearest clearcut, and more residential area
443 within 1 km. The abundance of the two most frequent shrubland generalists was related
444 to patch or landscape level variables. Eastern Towhee is the only species we analyzed
445 that was more abundant in larger openings, and Gray Catbird was more abundant at sites
446 closer to residential areas and farther from other clearcuts, so these two species may drive
447 the pattern for the entire group of shrubland generalist species. Abundance of another
448 common shrubland generalist, Common Yellowthroat, was significantly related only to
449 vegetation characteristics.

450 Among species that are usually classified as mature-forest birds, only Black-and-
451 white Warblers were observed frequently enough in forest openings to permit multiple
452 regression analysis. The abundance of this species was not significantly related to the
453 habitat variables we measured. The frequent detection of this species in shrubby
454 openings was surprising because previous studies in various parts of its breeding range
455 showed that this species was strongly associated with mature, closed canopy forests
456 rather than early successional forest (Kricher, 1995). However, Hagan *et al.* (1997) and
457 Costello *et al.* (2000) reported that Black-and-white Warblers were more abundant in
458 clearcut regeneration plots than in mature-forest plots, indicating that this species may be
459 associated with early successional habitat as well as mature forest. We found no

460 evidence of nesting by Black-and-white Warblers in forest openings, however. The
461 Black-and-white Warbler, like the Wood Thrush (Anders *et al.*, 1998), might nest in
462 mature forests and feed in shrubby openings during certain periods of the breeding
463 season, but this requires further study.

464 We could not find clearcuts >21 ha in southeastern Connecticut (an example of
465 the current shift to smaller-scaled silvicultural practices), so we were unable to test the
466 hypothesis that very large clearcuts support a higher density of shrubland specialists.
467 When Rudnicky and Hunter (1993) surveyed birds in clearcuts in eastern Maine that
468 ranged in size from 2 to 112 ha, they found no relationship between the frequency of
469 occurrence of particular species on survey plots and the area of the opening, indicating
470 that larger clearcuts do not provide more favorable habitat. Interestingly, they found that
471 there was a relationship between frequency of occurrence and clearcut area for many
472 species if only sites <20 ha (which happens to be the size range for our study) are
473 considered. They provide few details, however, and the bird species composition of
474 openings in their northern coniferous forest sites differs from that of the deciduous forest
475 openings we studied. Two species that were frequently detected in both studies, the
476 Chestnut-sided Warbler and Common Yellowthroat, do not show a consistent negative
477 relationship between frequency and clearcut area in clearcuts <20 ha in Maine (Figure 3
478 in Rudnicky and Hunter, 1993), which is consistent with our results.

479 The lack of a relationship between the abundance of shrubland specialists on
480 survey plots and the area of habitat patches or the composition and configuration of
481 surrounding landscapes contrasts with the pattern for mature-forest birds in the same
482 region of eastern North America. The abundance of many mature-forest bird species on

483 survey plots tends to be highly correlated with forest area and with the amount and
484 configuration of forest cover in the surrounding landscape (Askins *et al.*, 1987; Robinson
485 *et al.*, 1995; Villard *et al.*, 1999). This difference in sensitivity to the composition and
486 configuration of preferred habitats may reflect adaptations to different patterns of habitat
487 availability for early successional and late successional species during most of their
488 evolutionary history. In eastern North America, mature deciduous and boreal forests
489 frequently occurred in large, unbroken stretches, while shrubby early successional
490 patches occurred in small, isolated patches created by windstorms, fires, beaver activity
491 and severe flooding along rivers and streams (Askins, 2001). Consequently, early
492 successional species may be adapted to colonize and reproduce successfully in small,
493 isolated habitat patches that are a product of more stochastic and spatially restricted
494 disturbance regimes. This general pattern of landscape insensitivity for shrubland species
495 may be less frequent in those shrubland birds that can exploit a greater breadth of
496 resources (i.e., shrubland generalists). Also, many species among both open grassland
497 and mature forest breeding birds tend to be area-sensitive and are similar in that they
498 have evolved to depend on extensive habitats that are influenced by a number of
499 landscape-scale abiotic and biotic factors. In contrast, shrubland specialists that depend
500 on more stochastic, small-scale disturbances to generate appropriate habitat may not be
501 influenced as much by patterns of habitat availability and disturbance at landscape scales,
502 as our results indicate. Once bird assemblages in enough habitats in different parts of the
503 world have been studied, this hypothesis can be tested by determining whether there is a
504 consistent relationship between sensitivity to habitat fragmentation and historical patterns
505 of habitat continuity.

506

507 *4.2 Breeding Success of a Shrubland Specialist*

508

509 Blue-winged Warblers are considered shrubland specialists because they typically
510 breed in early-to mid-succession habitat (Confer and Knapp, 1981; Will, 1986; Buckelew
511 and Hall, 1994; Gill *et al.*, 2001). Although their territories are characterized by a mosaic
512 of dense herbs, shrubs, and trees, their breeding habitats are typically dominated by low-
513 growing herbs and shrubs (Confer and Knapp, 1981; Gill *et al.*, 2001). Consequently,
514 forest management techniques that promote early-successional habitat, such as clearcuts
515 and shelterwood cuts, may produce optimal breeding areas. In our study, although 28
516 territories were characterized by short dense vegetation rarely exceeding 3 m in height,
517 we did not find a significant relationship between reproductive success and vegetation
518 height or foliage density. This was surprising considering that only 28% of Blue-winged
519 Warbler territories showed evidence of nesting. The nest success rate of 21.1% during
520 the egg laying and incubation stages (41.2% assuming $\rho_0 = \rho_1 = \rho_2$) is also relatively
521 low compared to other studies of this species (Gill *et al.*, 2001), including a study in
522 clearcuts and shelterwood cuts where the nest success rate was 51% (Annand and
523 Thompson, 1997). These findings suggest that, although Blue-winged Warblers become
524 established in artificial forest openings, their reproductive success may not be optimal
525 and is not affected by the structural characteristics of these openings as long as the
526 vegetation remains low. The reproductive success rates of other species nesting in
527 clearcuts in Missouri and Illinois (Annand and Thompson, 1997; Morse and Robinson,
528 1999) are even lower than the rate we documented for Blue-winged Warblers in

529 Connecticut. In contrast, nest success was much higher (60 – 99% of nests fledged \geq 1
530 young) in clearcuts in New Hampshire (King *et al.*, 2001). Comparison of the results of
531 these studies suggests that nest success in clearcuts may be higher in heavily forested
532 regions with relatively little agricultural or suburban habitat (King *et al.*, 2001), but this
533 requires more study.

534 Brown-headed Cowbirds were frequent in the forest openings we studied.
535 Although they did not parasitize any of the Blue-winged Warbler nests we monitored,
536 they could potentially reduce the reproductive success of this species and other shrubland
537 specialists. Like many of the shrubland specialists that they parasitize, they were most
538 frequent at sites with low, dense vegetation and live trees. Their abundance increased
539 with the density of snags on survey plots, so snags left in clearcuts to enhance wildlife
540 habitat may have a negative effect on nesting success of songbirds. This relationship
541 should be studied more thoroughly.

542 The size and age (time since harvest) of the forest opening may affect the
543 reproductive success of Blue-winged Warblers. Robinson and Robinson (1999) found
544 that Blue-winged Warblers were more likely to be found in larger clearcuts than in
545 smaller group cuts in southern Illinois. However, we found that the abundance of Blue-
546 winged Warblers was similar on survey plots in clearcuts of different sizes, and we found
547 no significant effect of forest opening size on Blue-winged Warbler reproductive activity
548 within the range of sizes we were studying (0.6 to 16.9 ha). We did, however, find a
549 general trend of higher mating success and nesting activity within smaller forest openings
550 (< 4 ha) (Figure 1). This general trend could be an artifact of a relatively low number of
551 study sites, however, especially with respect to larger sites (n = 4).

552

553 *4.3 Conclusions*

554

555 We found high densities of shrubland birds in small clearcuts and shelterwood
556 cuts, including species such as Blue-winged Warbler, Chestnut-sided Warbler, and
557 Eastern Towhee that have shown long-term population declines in southern New England
558 (Dettmers and Rosenberg, 2000). All of the species detected frequently enough to
559 analyze with regression analysis were found in both small and large clearcuts and
560 shelterwood cuts, and only the Eastern Towhee showed a significant tendency to occur
561 more frequently in larger openings. This supports the conclusions of previous studies
562 that indicate that the density of shrubland birds generally does not increase with clearcut
563 area (Rudnický and Hunter, 1993; Krementz and Christie, 2000). The evidence from
564 distribution of shrubland birds in clearcuts of different sizes suggests that small clearcuts
565 provide appropriate habitat, and there is no need to create larger areas of continuous early
566 successional habitats for these species. One caveat, however, is that two shrubland
567 species that tend to be missing in small shrubland openings, the Yellow-breasted Chat
568 (*Icteria virens*) and Golden-winged Warbler (*Vermivora chrysoptera*) (Thompson and
569 Nolan, 1973; Confer, 1992), were not detected in our study areas. Both of these species
570 were once common in southern New England but are now almost extirpated (Dettmers
571 and Rosenberg, 2000).

572 Even if the abundance of shrubland birds is similar on survey plots in small and
573 large openings, we cannot be certain that the habitat quality is similar without assessing
574 reproductive success. Our results for mating success and evidence of nesting in Blue-

575 winged Warblers did not indicate that larger forest openings represent better breeding
576 habitat. In fact, males in smaller openings tended to have higher mating success and rates
577 of nesting. However, the low average rates of mating success and nesting activity of
578 Blue-winged Warblers in forest openings during the two years of our study suggest that
579 these forest openings (both small and large) are relatively poor breeding habitat. We
580 were unable to test whether nest success was influenced by the area of the forest opening
581 due to a limited sample of nests. Nest failures were due to predation and were more
582 likely to occur during the early stages of nesting (i.e., egg laying and incubation). A
583 longer-term study should reveal whether the rate of nest success is consistently low for
584 Blue-winged Warblers in clearcuts. Also, although none of the Blue-winged Warbler
585 nests we monitored were parasitized by cowbirds, the high density of cowbirds in
586 clearcuts is a cause of concern for this species and other declining shrubland birds. The
587 higher abundance of cowbirds in clearcuts with more snags, which are frequently left
588 after timber harvesting to provide wildlife habitat, indicates that the effect of isolated
589 snags on cowbird density and activity should be studied.

590 Clearcuts are an ephemeral habitat for Blue-winged Warblers, Chestnut-sided
591 Warblers, Common Yellowthroats, and Eastern Towhees. The abundance of each of
592 these species is greatest at sites with low vegetation and few trees, so they decline as low,
593 woody vegetation grows into closed-canopy forest. Consequently, stable regional
594 populations of these species depend on a continual production of new forest openings as
595 old openings undergo succession. These openings may also be important feeding areas
596 for some forest bird species, particularly Black-and-white Warbler.

597 In conclusion, small forest openings support populations of several species of
598 shrubland birds. Unlike other bird species and guilds, the abundance and occupancy of
599 shrubland specialists in forest openings does not appear to be heavily influenced by the
600 area of the habitat patch (as long as the patch accommodates a breeding territory) or the
601 landscape context. Habitat selection for many shrubland specialists appeared to be
602 determined by plot-scale characteristics including vegetation structure and tree density.
603 The low reproductive rate in Blue-winged Warblers in our study sites, however, indicates
604 that more information on reproductive rates of shrubland birds in small clearcuts will be
605 needed before we can conclude that they provide productive, long-term habitat for these
606 birds.

607

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609

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622

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762

Table 1. Abundance (mean number of individuals per survey plot) for species detected in 34 forest openings in Connecticut. Some species were grouped according to their association with a restricted range of habitats: open shrubland (“shrubland specialist”), the shrub layer in habitats with both open and closed tree canopies (“shrub generalist”), and closed-canopy forest (“forest specialist”).

Species	Scientific Name	Breeding Habitat Group	Mean	SD
Brown-headed Cowbird (males and females)	<i>Molothrus ater</i>		1.35	1.55
Gray Catbird	<i>Dumetella carolinensis</i>	Shrubland generalist	1.32	0.98
Chestnut-sided Warbler	<i>Dendroica pensylvanica</i>	Shrubland specialist	1.12	0.81
Eastern Towhee	<i>Pipilo erythrophthalmus</i>	Shrubland generalist	1.00	0.85
Blue-winged Warbler	<i>Vermivora pinus</i>	Shrubland specialist	0.79	0.77
Common Yellowthroat	<i>Geothlypis trichas</i>	Shrubland generalist	0.53	0.66
Black-and-white Warbler	<i>Mniotilta varia</i>	Forest specialist	0.50	0.51
Cedar Waxwing	<i>Bombycilla cedrorum</i>		0.41	1.21
Wood Thrush	<i>Hylocichla mustelina</i>	Forest specialist	0.29	0.52
Prairie Warbler	<i>Dendroica discolor</i>	Shrubland specialist	0.26	0.57
Eastern Tufted Titmouse	<i>Baeolophus bicolor</i>		0.21	0.48
Veery	<i>Catharus fuscescens</i>	Forest specialist	0.21	0.41
Northern Cardinal	<i>Cardinalis cardinalis</i>		0.18	0.46
Hooded Warbler	<i>Wilsonia citrina</i>	Shrubland generalist	0.18	0.39
American Redstart	<i>Setophaga ruticilla</i>	Forest specialist	0.15	0.36
Black-capped Chickadee	<i>Poecile atricapilla</i>		0.15	0.36
Brown-headed Cowbird (females)	<i>Molothrus ater</i>		0.15	0.36
Great Crested Flycatcher	<i>Myiarchus crinitus</i>	Forest specialist	0.15	0.36
Baltimore Oriole	<i>Icterus galbula</i>		0.15	0.36
Red-eyed Vireo	<i>Vireo olivaceus</i>	Forest specialist	0.15	0.36
American Goldfinch	<i>Carduelis tristis</i>		0.12	0.48
Blue Jay	<i>Cyanocitta cristata</i>		0.12	0.33
American Robin	<i>Turdus migratorius</i>		0.12	0.33
Rose-breasted Grosbeak	<i>Pheucticus ludovicianus</i>	Shrubland generalist	0.09	0.29
Mourning Dove	<i>Zenaida macroura</i>		0.09	0.38
Pileated Woodpecker	<i>Dryocopus pileatus</i>	Forest specialist	0.06	0.24
Scarlet Tanager	<i>Piranga olivacea</i>	Forest specialist	0.06	0.24

Turkey Vulture	<i>Cathartes aura</i>		0.06	0.24
Yellow-throated Vireo	<i>Vireo flavifrons</i>	Forest specialist	0.06	0.24
American Crow	<i>Corvus brachyrhynchos</i>		0.03	0.17

Table 2. Results of three separate principal component analyses at different spatial scales: plot scale (variables sampled within the 100-m diameter survey plot), patch scale (variables associated with the forest opening), and landscape scale (variables associated with the region within 1 km of the study site). Factor scores are shown for each independent variable for principal components that explain a high percentage of the variance.

	Plot 1	Plot 2	Patch 1	Landscape 1	Landscape 2
Percent of variance explained	47	23	68	39	20
Plot PCA					
Percent tree cover	-0.96	0.05			
Percent shrub cover	0.83	0.17			
Percent herb cover	0.54	-0.23			
Tree density	0.27	0.88			
Canopy height	-0.62	0.50			
Patch PCA					
Clearcut area			-0.97		
Clearcut age			0.42		
Distance around perimeter/area			0.96		
Landscape PCA					
Regional clearcut area				-0.78	0.44
Regional residential area				0.65	0.12
Distance to nearest residence				-0.48	-0.46
Distance to nearest road				0.10	0.81
Distance to nearest powerline				0.60	0.26
Distance to nearest clearcut				0.83	-0.23

Table 3. Relationships between principal components and abundance of particular species in forest plots. Components are shown for three separate principal components at different spatial scales: plot scale (first 2 components), patch scale (first component), and landscape scale* (first 2 components).

Species	Model (P)	R²	Plot 1	Plot 2	Patch 1	Landscape 1	Abundance increases with:
Gray Catbird	0.032	0.34				0.001 (+)	smaller distance to houses, greater distance from other clearcuts
Black-and-white Warbler	0.92						
Blue-winged Warbler	0.03	0.34		0.037 (-)			lower tree density, lower vegetation (veg.) height
Chestnut-sided Warbler	0.047	0.32		0.034 (-)			lower tree density, lower veg. height
Common Yellowthroat	0.01	0.29		0.006 (-)			lower tree density, lower veg. height
Brown-headed Cowbird	0.004	0.45	<0.001 (+)				higher shrub cover, lower tree cover and veg. height
Eastern Towhee	0.013	0.39		0.034 (-)	0.005 (-)		larger habitat patch, lower tree density and veg. height
Habitat groups							
Shrubland specialists	0.001	0.42	0.019 (+)	0.001 (-)			higher shrub density, lower tree cover, lower tree density
Shrubland generalists	0.04	0.34		0.006 (-)	0.037 (-)	0.039 (+)	larger patch size, lower tree density, greater distance to clearcut, lower area of clearcuts and larger amount of housing nearby
Forest species	0.87						

*Landscape Principal Component 2 is not shown because there were no significant relationships.

Caption

Figure 1. Blue-winged Warblers were generally more successful in finding mates and establishing nests in smaller forest openings (≤ 4 ha) than in larger forest openings (> 4 ha). Although mating success was not significantly different in either small or larger forest openings ($\alpha = 0.05$), the general trend was similar to that for nesting activity, which was significantly greater in small forest openings.

